

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor application of: GATTO et al.

Attorney Docket No.: CYBS5748

Application No.: 09/838,563

Examiner: To be Assigned


Filed: April 19, 2001

Group: 3713

Title: Methods And Systems For Electronic  
Virtual Races

**CERTIFICATE OF MAIL**

I hereby certify that the within document(s) is/are being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on June 27, 2001.

  
Signature

  
Printed Name

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION**

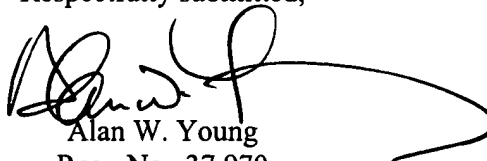
Assistant Commissioner of Patents  
Washington DC 20231  
Attn. **BOX MISSING PARTS**

Dear Sir:

Responsive to the Notice To File Missing Parts Of Nonprovisional Application mailed June 19, 2001, kindly find the following items enclosed herewith:

- (1) ☒ Copy of Notice to File Missing Parts dated 6/19/01 (2 pages);
- (2) ☒ \$355 Basic Filing Fee (Small Entity);
- (3) ☒ \$65.00 Surcharge, 37 CFR §1.16(e) (Small Entity);
- (4) ☒ \$495 for 55 total claims over 20;
- (5) ☒ \$200 for 5 independent claims over 3;
- (6) ☒ Executed Combined Declaration and Power of Attorney (3 pages);
- (7) ☒ Form 2038 authorizing PTO to charge \$1,115.00 to specified credit card, (\$355 Filing Fee (Small Entity); \$65.00 Surcharge under 37 CFR § 1.16 (e)(Small Entity); \$495 for 55 total claims over 20; \$200 for 5 independent claims over 3).
- (8) ☒ PTO/SB/17 Fee Transmittal Form (in duplicate);
- (9) ☒ Return Postcard.

Respectfully submitted,



Alan W. Young  
Reg. No. 37,970

YOUNG LAW FIRM, P.C.  
4370 Alpine Road, Suite 106  
Portola Valley, CA 94028

Tel: (650) 851-7210/Fax: (650) 851-7232

June 27, 2001

RECEIPT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

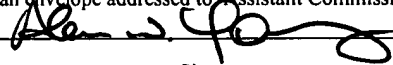
In re application of: GATTO et al.  
Serial Number: 09/838,563  
Filing Date: April 19, 2001  
Title: Methods And Systems For  
Electronic Virtual Races

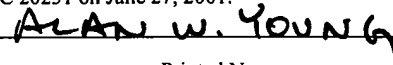
Group Art Unit: 3713  
Examiner: To Be Assigned  
Att'y Docket: CYBS5748

#6  
K. Goff  
8/28/01

CERTIFICATE OF MAIL

I hereby certify that the within document(s) is/are being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on June 27, 2001.

  
Signature

  
Printed Name

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

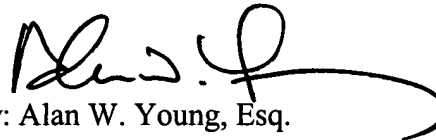
Dear Sir:

The original filing receipt in the above-identified case **incorrectly** identified the attorney docket number as "CYBS5749".

The **correct attorney docket number** is "CYBS5748" as noted on the marked-up copy of the original filing receipt included herewith.

Kindly correct this matter and issue a corrected Filing Receipt.

Respectfully submitted,

  
By: Alan W. Young, Esq.  
Reg. No. 37,970

June 27, 2001

YOUNG LAW FIRM  
4370 Alpine Road, Suite 106  
Portola Valley CA 94028  
Tel (650) 851-7210  
Fax (650) 851-7232

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AUG 28 2001  
TECHNOLOGY CENTER 3700



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/838,563	04/19/2001	3713	0.00	<del>CYBS5749</del>	5	75	8

CYBS5748

CONFIRMATION NO. 8750

22430  
YOUNG LAW FIRM  
A PROFESSIONAL CORPORATION  
4370 ALPINE ROAD SUITE 106  
PORTOLA VALLEY, CA 94028

Young Law Firm, PLLC

JUN 23 2001



RECEIVED

Date Mailed: 06/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jean-Marie Gatto, London, UNITED KINGDOM;  
Pierre-Jean Beney, London, UNITED KINGDOM;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 06/19/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Methods and systems for electronic virtual races

## Preliminary Class

RECEIVED  
AUG 28 2001  
TECHNOLOGY CENTER 3700

463

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Data entry by : DUONG, SANG

Team : OIPE

Date: 06/19/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

RECEIVED  
 AUG 28 2001  
 TECHNOLOGY CENTER 3700

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/838,563	04/19/2001	Jean-Marie Gatto	CYBS5749

22430  
YOUNG LAW FIRM  
A PROFESSIONAL CORPORATION  
4370 ALPINE ROAD SUITE 106  
PORTOLA VALLEY, CA 94028

Young Law Firm, P.C.

JUN 23 2001

RECEIVED

CONFIRMATION NO. 8750



\*OC000000006200564\*

Date Mailed: 06/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$695.
  - \$495 for 55 total claims over 20.
  - \$200 for 5 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1115.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/10/2001 AZERGAH1 000000021 09838563  
01 FC:201  
02 FC:203  
03 FC:202  
04 FC:205  
355.00  
495.00  
200.00  
65.00



SECTOR 8  
#4

Under the Trademark Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0062

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 1,115.00

## Complete if Known

Application Number	09/838,563
Filing Date	April 19, 2001
First Named Inventor	Jean-Marie GATTO
Examiner Name	To Be Assigned.
Group Art Unit	3713
Attorney Docket No.	CYBS5748

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number   
Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☐ Check ☒ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 355

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
75	-20** = 55	9	495
8	-3** = 5	40	200
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 695

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 65

## SUBMITTED BY

Name (Print/Type)	Alan W. Young	Registration No. (Attorney/Agent)	37,970	Telephone	650-851-7210
Signature		Date	JUNE 27, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.